

20427 U.S. PTO

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PTO/SB/05 (08-00)

UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))	Attorney Docket No.	H053778.0020US0
	First Named Inventor or Application identifier	Mary Frances Sherlock
ADDRESS TO: Commissioner for Patents Mail Stop Patent Application PO Box 1450 Alexandria, VA 22313-1450	Title	BATH LIFTING SYSTEM
	Express Mail No.	EU099022503US

22388 U.S. PTO
10/827458

041904

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

1. ☒ Fee Transmittal Form PTO/SB/17 (submit in duplicate)
2. ☒ Applicant claims small entity status. See 37 CFR 1.27.
3. ☒ Specification [Total Pages: 63]
4. ☒ Drawing(s) (35 USC 113) [Total Sheets: 39]
5. ☒ Oath or Declaration [Total Pages: 1]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with No. 17 completed)

[Note No. 6 below]

 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in
the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Incorporation By Reference (useable if No. 5b is
checked)
The entire disclosure of the prior application, from which a copy of
the oath or declaration is supplied under No. 5b, is considered as
being part of the disclosure of the accompanying application and is
hereby incorporated by reference therein.
7. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer Readable Copy (CRF)
 - b. ☐ Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statement verifying identity of above copies
8. ☒ Application Data Sheet. See 37 CFR 1.76

9. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)

ACCOMPANYING APPLICATION PARTS

10. ☒ Assignment Papers (cover sheet & document(s))
11. ☒ 37 CFR 3.73(b) Statement (when there is an assignee)
12. ☒ Power of Attorney
13. ☐ English Translation Document (if applicable)
14. ☒ Information Disclosure
Statement (IDS)/PTO-1449
☐ Copies of IDS Citations
15. ☐ Preliminary Amendment
16. ☒ Return Receipt Postcard (Itemized)
17. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
18. ☒ Other: Certificate of Mailing; Check for \$913; Check
for \$40.00

19. If a CONTINUING APPLICATION, check appropriate blank and supply the requisite information:

- ☐ Continuation
☐ Divisional
☒ Continuation-in-part (CIP)

of prior application No.: 10/703,942
 Prior Application Information:
 Examiner Tuan N. Nguyen Group Art Unit: 3751

20. Correspondence Address

☒ Customer Number or Bar Code Label 01200 or ☐ Correspondence address below
 (Insert Customer No. or Attach bar code label here)

Name	Attn: Richard D. Fladung AKIN GUMP STRAUSS HAUER & FELD LLP				
Address	1111 Louisiana, 44 th Floor				
City	Houston	State	Texas	Zip Code	77002-5200
Country	U.S.A.	Telephone	(713) 220-5800	Fax	(713) 236-0822

Date:

April 19, 2004

Richard D. Fladung, Reg. No. 30,834

FEE TRANSMITTAL for FY 2004 <i>Patent fees are subject to annual revision</i>		Complete if Known	
		Application Number	
		Filing Date	April 19, 2004
		First Named Inventor	Mary Frances Sherlock
		Examiner Name	
		Group / Art Unit	
Total Amount of Payment	\$ 953.00	Attorney Docket No.	H053778.0020US0

METHOD OF PAYMENT (check one)	
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account No.: <u>16-2435</u> Deposit Account Name: <u>Akin Gump Strauss Hauer & Feld LLP</u>	
<input checked="" type="checkbox"/> Charge any additional Fee Required Under 37 CFR §§ 1.16 & 1.17	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27	
2. <input checked="" type="checkbox"/> Payment Enclosed:	<input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Credit Card <input type="checkbox"/> Other

FEE CALCULATION					
1. Basic Filing Fee					
Large Fee Code	Large Entity Fee (\$)	Small Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
1001	\$770	2001	\$385	Utility Filing Fee	\$ 385
1002	\$340	2002	\$170	Design Filing Fee	\$
1003	\$530	2003	\$265	Plant Filing Fee	\$
1004	\$770	2004	\$385	Reissue Filing Fee	\$
1005	\$160	2005	\$ 80	Provisional Filing Fee	\$
Subtotal (1)					\$385

2. Extra Claim Fees					
Claims	Extra	Fee (below)		Fee Paid	
Total	<u>50</u> - 20** =	30	x	\$ 9	= \$ 270
Indep.	<u>9</u> - 3** =	6	x	\$ 43	= \$ 258
Multiple Dependent					\$
**or number previously paid, if greater.					
For Reissues, see below					
Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description			
\$ 18	\$ 9	Claims in excess of 20			
\$ 86	\$ 43	Independent claims in excess of 3			
\$ 290	\$ 145	Multiple dependent claim, if not paid			
\$ 86	\$ 43	**Reissue independent claims over original patent			
\$ 18	\$ 9	**Reissue claims in excess of 20 and over original patent			
Subtotal (2)					\$ 528

FEE CALCULATION (continued)					
3. Additional Fees					
Large Fee Code	Large Entity	Small Fee Code	Small Entity	Fee Description	Fee Paid
1051	\$ 130	2051	\$ 65	Surcharge - late fee or oath	\$
1052	\$ 50	2052	\$ 25	Surcharge - late provisional filing fee or cover sheet	\$
1812	\$2,520	1812	\$2,520	Request for Reexamination	\$
1804	\$920*	1804	\$920*	Requesting publication of SIR prior to Examiner action	\$
1805	\$1840*	1805	\$1840*	Requesting publication of SIR after Examiner action	\$
1251	\$ 110	2251	\$ 55	Extension for reply within first month	\$
1252	\$ 420	2252	\$ 210	Extension for reply within second month	\$
1253	\$ 950	2253	\$ 475	Extension for reply within third month	\$
1254	\$1,480	2254	\$ 740	Extension for reply within fourth month	\$
1255	\$2,010	2255	\$1,050	Extension for reply within fifth month	\$
1401	\$ 330	2401	\$ 165	Notice of Appeal	\$
1402	\$ 330	2402	\$ 165	Filing a brief in support of an appeal	\$
1403	\$ 290	2403	\$ 145	Request for oral hearing	\$
1452	\$ 110	2452	\$ 55	Petition to revive - unavoidable	\$
1453	\$1,330	2453	\$ 665	Petition to revive - unintentional	\$
1501	\$1,330	2501	\$ 665	Utility issue fee (or reissue)	\$
1502	\$ 480	2502	\$ 240	Design issue fee	\$
1503	\$ 640	2503	\$ 320	Plant issue fee	\$
1460	\$ 130	1460	\$ 130	Petitions to the Commissioner	\$
1807	\$ 50	1807	\$ 50	Petitions related to provisional applications	\$
1806	\$ 180	1806	\$ 180	Submission of Information Disclosure Statement	\$
8021	\$ 40	8021	\$ 40	Recording each patent assignment per property (times number of properties)	\$ 40
1809	\$ 770	2809	\$ 385	Filing a submission after final rejection (37 CFR 1.129(a))	\$
1801	\$ 770	2801	\$ 385	Request for Continued Examination (RCE)	\$
1802	\$ 900	1802	\$ 900	Request for expedited examination of a design application	\$
Other fee (specify):					\$
Other fee (specify):					\$
* Reduced by Basic Filing Fee Paid					
Subtotal (3)					\$ 40

Submitted by

Date:

April 19, 2004

Richard D. Fladung, Reg. No. 30,834

MAIL STOP PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Applicant:	§	Confirmation No.:
	§	
MARY FRANCES SHERLOCK	§	
RAINER KUENZEL	§	
	§	
Filed: April 19, 2004	§	Art Unit:
	§	
Serial No.:	§	Examiner:
	§	
For: BATH LIFTING SYSTEM	§	Docket No.: H053778.0020US0
	§	
	§	Customer No.: 01200

CERTIFICATE OF MAILING BY EXPRESS MAIL

I hereby certify that the following documents, which are attached, are being deposited, under 37 C.F.R. § 1.10 with the United States Postal Service ("Express Mail Post Office to Addressee") service as Express Mail No. EU099022503US in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

- (1) Utility Patent Application Transmittal;
- (2) Fee Transmittal (in duplicate);
- (3) Application Data Sheet (2 pages);
- (4) Patent Application (Specification (63 pages), Informal drawings (39 sheets));
- (5) Firm Check for \$913.00;
- (6) Declaration (1 page); and Power of Attorney by Assignee;
- (7) Information Disclosure Statement; and Form PTO 1449;
- (8) Assignment w/ Recordation Cover Sheet;
- (9) Firm Check for \$40.00;
- (10) 37 CFR 3.73(b) Statement; and
- (11) Return postcard

Respectfully submitted,

04/19/2004
Date

Holly Tushman
Name: Holly Tushman

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